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EXHIBIT A

Deficiency Notice

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GTAT Securities Litigation P.O. Box 10463 Dublin, OH 43017-4063 (866)-562-8790 www.GTATSecuritiesLitigation.com



Mailing Date: Response Due Date: Claim No:

Eligible Securities: GTAT Common Stock GTAT 3.00% Convertible Senior Notes Due 2020 GTAT Call Options GTAT Put Options

NOTICE OF REJECTION OF PART OF YOUR CLAIM

THIS IS THE ONLY NOTICE YOU WILL RECEIVE WITH RESPECT TO THIS CLAIM

Dear Claimant(s):

We have received and processed the Proof of Claim and Release Form ("Claim Form") that you submitted with respect to the abovereferenced Claim in the *GTAT Securities Litigation*. We have determined, based on our review of the Claim Form and the documentation provided, if any (the "Claim"), that, pursuant to the terms of the Court-approved Plan of Allocation for the proceeds of the Apple Settlement in this action, part of the Claim is ineligible for a recovery for the reason(s) listed on the reverse side of this notice.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH THE DETERMINATION THAT YOUR CLAIM IS PARTIALLY INELIGIBLE.

To resolve the identified condition(s) of ineligibility, please follow the directions on the reverse side of this notice. If a noted deficiency relates to documentation submitted, please **do not merely re-submit** the same documentation as was included with your original submission of your Claim. That documentation was determined to be incomplete or inadequate.

<u>PLEASE NOTE</u>: YOU MUST RETURN A COPY OF THIS NOTICE WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND SUPPORTING DOCUMENTATION THAT YOU SUBMIT.

If you fail to respond by the Response Due Date above, or if your response fails to cure the ineligibility condition(s) identified, this Claim will be rejected to the extent that those conditions remain uncured.

PLEASE NOTE: In curing deficiencies, you may affect the calculation of the Claim. Regardless of how many deficiencies are cured, in order for the Claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION. To the extent the Claim is not deficient, and if that portion of the Claim calculates to a Recognized Claim under the Plan of Allocation, it will be included in the list of eligible Claims presented to the Court for approval.

If you disagree with the determination to reject this Claim in part, you may request Court review of the determination. Please see the reverse side of this notice for instructions on how to request Court review of the Claim.

Responses to this notice that are mailed must be postmarked no later than the Response Due Date noted above. The Claim will be considered to the extent your response cures ineligibility condition(s) identified.

If you have any questions regarding this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please call us toll-free at (866) 562-8790 or email us at info@gtatsecuritieslitigation.com. If you would like to view or download the Plan of Allocation, you may do so on the Settlement website at <u>www.GTATSecuritiesLitigation.com</u>.

Very truly yours,

The Claims Administrator



Claim No:

INELIGIBILITY CONDITION: Missing Supporting Documentation for Certain Transactions.

You did not provide any documentation to support certain transactions and/or holding positions set forth in the Claim Form. The specific transaction(s) and/or holding position(s) at issue is (are) listed in the chart below.

	Security	Transaction/ Holding	Trade/ Holding Date	Number of Shares	Price Per Share	Total Price
Cot	mmon Stock	Purchase	08/14/2014	200.00	16.50	3,299.80

HOW TO RESOLVE: You must submit acceptable documentation supporting the transaction(s) and/or holding position(s) listed in the chart above. Specifically, the supporting documentation for each transaction must reflect the purchase (acquisition)/sale date, the number of shares, face value of notes or option contracts purchased (acquired)/sold and the price paid/received. Acceptable documentation includes securities broker's confirmation slips, month and year-end account statements, or similar documentation (self-generated documents are not acceptable).

If there is a blank space ("______") in any of the boxes in the chart above, this means that the Claim Form submitted did not provide this information regarding the transaction/holding position at issue. You must submit acceptable supporting documentation that not only provides this missing information; it must also contain the other information listed in the chart above.

If there is an "N/A" in any of the boxes in the chart above, this means that the supporting documentation need not provide this information. For example, in order to fully document "Ending Holdings" as of the close of trading on January 2, 2015, you need only submit acceptable supporting documentation demonstrating the number of shares of GTAT common stock held as of that date. You do NOT need to provide documentation demonstrating the "Price Per Share" or "Total Price" paid for those holdings.

INSTRUCTIONS FOR REQUESTING COURT REVIEW

If you disagree with the determination to reject this Claim in part, you may request Court review of the determination. To request Court review, you must send a letter to the Claims Administrator postmarked no later than the Response Due Date set forth at the top of this notice and it must: (1) specifically state that you "request that the Court review the partial rejection of this Claim," (2) state your argument(s) for why your Claim should be fully accepted, (3) attach any supporting documents you may have to support your argument(s), and (4) be signed. Please also include a copy of this notice when requesting Court review of the partial rejection of your Claim. If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). Please note: Court review should only be sought if you disagree with our determination about this Claim.

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GTAT Securities Litigation P.O. Box 10463 Dublin, OH 43017-4063 (866)-562-8790 www.GTATSecuritiesLitigation.com



Mailing Date: Response Due Date: Claim No:

Eligible Securities: GTAT Common Stock GTAT 3.00% Convertible Senior Notes Due 2020 GTAT Call Options GTAT Put Options

NOTICE OF REJECTION OF YOUR ENTIRE CLAIM

THIS IS THE ONLY NOTICE YOU WILL RECEIVE WITH RESPECT TO THIS CLAIM

Dear Claimant(s):

We have received and processed the Proof of Claim and Release Form ("Claim Form") that you submitted with respect to the above-referenced Claim in the *GTAT Securities Litigation*. We have determined, based on our review of the Claim Form and the documentation provided, if any (the "Claim"), that, pursuant to the terms of the Court-approved Plan of Allocation for the proceeds of the Apple Settlement in this action, the Claim is ineligible for a recovery for the reason(s) listed on the reverse side of this notice.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH THE DETERMINATION THAT YOUR CLAIM IS INELIGIBLE.

To resolve the identified condition(s) of ineligibility, please follow the directions on the reverse side of this notice. If a noted deficiency relates to documentation submitted, please **do not merely re-submit** the same documentation as was included with your original submission of your Claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your Claim will be considered to the extent cured.

<u>PLEASE NOTE</u>: Regardless of how many deficiencies are cured, in order for the Claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION. To the extent the Claim is not deficient, and if that portion of the Claim calculates to a Recognized Claim under the Plan of Allocation, it will be included in the list of eligible Claims presented to the Court for approval.

<u>PLEASE NOTE</u>: YOU MUST RETURN A COPY OF THIS NOTICE WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND SUPPORTING DOCUMENTATION THAT YOU SUBMIT.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure ineligible condition(s) identified, this Claim will be rejected in its entirety.

If you disagree with the determination to reject this Claim, you may request Court review of the determination. Please see the reverse side of this notice for instructions on how to request Court review of the Claim.

Responses to this notice that are mailed must be postmarked no later than the Response Due Date noted above. If your response cures sufficient ineligibility condition(s) identified and the Claim calculates to a Recognized Claim under the Court-approved Plan of Allocation, the Claim will be included in the list of eligible Claims presented to the Court for approval.

If you have any questions regarding this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please call us toll-free at (866) 562-8790 or email us at info@gtatsecuritieslitigation.com. If you would like to view or download the Plan of Allocation, you may do so on the Settlement website at <u>www.GTATSecuritiesLitigation.com</u>.

Very truly yours,

The Claims Administrator



Claim No:

INELIGIBILITY CONDITION: No documentation provided.

The Claim referenced above did not include any documentation to support the Claim.

HOW TO RESOLVE: You can resolve this condition of ineligibility by submitting acceptable documentation to support the Claim. All transactions and holding positions must be properly documented. Specifically, the supporting documentation for each transaction must reflect the purchase (acquisition)/sale date, the number of shares, face value of notes or option contracts purchased (acquired)/sold and the price paid/received. Acceptable documentation includes securities brokers' confirmation slips, month and year-end account statements or similar documentation (self-generated documents are not acceptable).

<u>PLEASE NOTE</u>: Curing this deficiency at least in part is an absolute requirement in order for the Claim to be eligible. If the deficiency is only cured in part, the Claim will be calculated based on the transactions for which acceptable documentation is submitted. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, unless this deficiency is also cured at least in part, and, to the extent that it is cured the Claim calculates to a Recognized Claim under the Plan of Allocation.

INSTRUCTIONS FOR REQUESTING COURT REVIEW

If you disagree with the determination to reject this Claim, you may request Court review of the determination. To request Court review, you must send a letter to the Claims Administrator postmarked no later than the Response Due Date set forth at the top of this notice and it must: (1) specifically state that you "request that the Court review the rejection of this Claim," (2) state your argument(s) for why your Claim should be accepted, (3) attach any supporting documents you may have to support your argument(s), and (4) be signed. Please also include a copy of this notice when requesting Court review of the rejection of your Claim. If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). Please note: Court review should only be sought if you disagree with our determination about this Claim.